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# TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)

Attny. Docket No. 1999U026.US-CON

In Re Application of: Donald R. Loveday

U.S. Serial No. Filing Date Examiner Group Art Unit 10/632.295 08/01/2003 Cheung, William K. 1713

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### 37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

#### 37 CFR § 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:
  - 1. a Final Action under § 1.113,
  - 2. a Notice of Allowance under § 1.311, or
  - 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

#### 37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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1449, submitted herewith.
Legible copies of the references are enclosed.
<ul> <li>☐ This application is a:</li> <li>☐ Continuation / Divisional,</li> <li>☐ Continuation-in-Part,</li> </ul>
of U.S.S.N. <u>09/865,067</u> , filed May 24, 2001
Copies of the cited U.S. patent references are $\square$ enclosed; $\boxtimes$ not enclosed. Copies of the cited non-U.S. patent references are $\boxtimes$ enclosed; $\square$ not enclosed. References are available in the parent application(s) if not enclosed.
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to <a href="Deposit Account Number 50-0589">Deposit Account Number 50-0589</a> .  Kevin M. Faulkner Registration No. 45,427 Univation Technologies, LLC 5555 San Felipe Houston, Texas 77056-2723 (713) 892-3729 Voice (713) 892-3687 Facsimile

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## DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. SERIAL NO. 1999U026.US-CON 10/632,295 APPLICANT Donald R. Loveday FILING DATE GROUP 08/01/2003 1713

### U.S. PATENT DOCUMENTS DOCUMENT FILING DATE \*EXAMINER NUMBER DATE **CLASS SUBCLASS** IF APPROPRIATE INITIAL NAME AA AB AC AD ΑE AF AG AH ΑI AJ ΑK FOREIGN PATENT DOCUMENTS DOCUMENT PUBL. TRANSLATION COUNTRY CLASS **SUBCLASS** DATE NUMBER YES NO AL AM OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.) Böhm, L. L., et al., "Bimodal high density polyethylene resin for film" Plastics, Rubber and Composites Processing and Applications Vol. 27 No. 1 pages 25-29 (1998) AN Böhm, Ludwig L., et al. "High-Density Polyethylene Pipe Resins" Advanced Materials 4 no. 3, pages 234-238 (1992)

**EXAMINER** 

AO

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DATE CONSIDERED

Burkhardt, Ulrich et al. "Aufbereiten von multimodalen Polymerwerk-stoffen, Basis PP and PE" Dieter Voigt, Institut für Polymerforschung Dresden, pages 55-78 (1995). - translation included

\*EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant